

CABINET MEMBER FOR ADULT SOCIAL CARE

**Venue: Town Hall,
Moorgate Street,
Rotherham. S60 2TH**

Date: Monday, 10th September, 2012

Time: 10.00 a.m.

A G E N D A

1. To determine if the matters are to be considered under the categories suggested in accordance with Part 1 (as amended March 2006) of Schedule 12A to the Local Government Act 1972.
2. To determine any item which the Chairman is of the opinion should be considered later in the agenda as a matter of urgency.
3. Apologies for absence.
4. Minutes of the previous meeting (Pages 1 - 5)
5. Adult Services Revenue Budget Monitoring 2012-13 (Pages 6 - 10)
6. Exclusion of the press and the public.
The following item is likely to be considered in the absence of the press and public as being exempt under Paragraphs 3 and 4 of Part 1 of Schedule 12A to the Local Government Act 1972 (as amended March 2006) (information relating to the financial or business affairs of any particular individual (including the Council) and Information relating to any consultations or negotiations, or contemplated negotiations, in connection with any labour relations matter).
7. EU Working Time Directive (Pages 11 - 14)
8. Date and time of the next meeting: -

Monday 24th September, 2012, commencing at 10.00 am in the Rotherham Town Hall.

**CABINET MEMBER FOR ADULT SOCIAL CARE
23rd July, 2012**

Present:- Councillor Doyle (in the Chair); Councillors Gosling and P. A. Russell.

An apology for absence was received from Councillor Steele.

H17. MINUTES OF THE PREVIOUS MEETING HELD ON 9TH JULY, 2012

Consideration was given to the minutes of the previous meeting held on 9th July, 2012.

Resolved:- That the minutes of the previous meeting held on 9th July, be approved as a correct record.

H18. DAY SERVICE REVIEW PROPOSALS - LEARNING DISABILITY SERVICES

Janine Moorcroft, Service Manager, Adult Community Services, presented a report on proposals for a review of the Learning Disability Day Care Services to achieve the £150,000 savings target. The report also outlined the need for further work in respect of the longer term personalisation of Learning Disability Day Care Services ensuring they aligned to local and national strategic direction and providing value for money.

At present there were 3 Adult Learning Disability Day Care Services in Rotherham – Addison Resource Centre with a small outreach service at Kiveton, Oaks Day Service and Reach Day Care Service with an outreach service at Maltby. Traditionally customers with complex needs had attended the Reach Day Care Service resulting in the Oaks and Addison Centres being able to diversify their services.

The Autumn Service provided day care services to older people with a Learning Disability Service in Rotherham at Park Hill Lodge, Maltby, and Charnwood, Swinton, where Services for older people with social needs and older people with learning disabilities were delivered jointly.

275 customers attended the Adult Learning Disability Day Care Services, 65% of which attended 5 days a week – 58% more than in Older People's Services; the majority of older people attended only 1 day per week. Local demographics and identification of future transitional customers from Children and Young People's Services suggested that demand would increase over the next 5 years.

The options for consultation with customers were:-

- Relocation of Autumn Service - Day Care Services for Older People with a Learning Disability
Service delivered at Charnwood, Swinton and a small outreach centre at Park Hill Lodge, Maltby. It was proposed that those who attended Park Hill Lodge were transferred to Copeland Lodge, Thurcroft.

- Cease catering arrangements currently provided at Addison and Oaks Day Service

Demand for catering services had been low as a result of people making the choice to bring in their own meals. It was proposed that the dining hall space and kitchen facilities be maximised by customers to develop basic catering skills which would benefit them in moving forward into employment and towards independent living. It was suggested that customers provide their own packed lunch including those who attended from respite provision and were in receipt of a free meal.

Day Care Services had operated with vacant posts since the Corporate recruitment freeze. It was proposed that the vacant posts be disestablished and contribute to the savings target. There were also inconsistencies in the Service in relation to the number of posts within Centre establishments on different gradings. Consultation with staff would be initiated with a view to changing current staffing structures to achieve greater consistency and flexibility across services.

It was proposed that a 12 weeks consultation exercise be undertaken with all stakeholders commencing on 23rd July to 15th October, 2012.

Discussion ensued on the report with the following comments made:-

- Discrepancy in the number of customers for Addison and Oaks
- Relocation of Autumn Service – should be amended to read “relocation to other premises will release additional transport savings”
- If a client forgot their packed lunch there would still be facilities on site to make sandwiches
- Further discussion required regarding Light Bites

Resolved:- (1) That the report be noted.

(2) That the 12 weeks consultation programme with customers, as set out in the report, be approved.

(3) That a further report be submitted outlining the longer term strategy for Learning Disability Day Services.

(4) That upon completion of the consultation, a report be submitted to Cabinet for consideration.

H19. DAY SERVICE REVIEW PROPOSALS - TRANSPORT SERVICES

Janine Moorcroft, Service Manager, Adult Community Services, presented a report on proposals for a review of Transport Services to achieve £219,817.82 savings towards the target of £615,000.00 for Day Care and Transport Services.

The Council provided transport services for customers from their home to their chosen Day Centre. Transport was provided using a mixture of in-house vehicles and contracted private providers with customers contributing £1 per day towards the cost. The actual cost was £39.10 per week for in-house provision and considerably more for private hire taxis.

Consultation with customers would take place on:-

- Review of Transport Eligibility Criteria
It was anticipated that the adoption of the new criteria would reduce eligible customers by 75 as customers in receipt of Mobility Allowance were expected to use the funds or their mobility vehicle to travel to Day Care Services

It was proposed that the criteria would apply whether the Service was provided in-house or commissioned externally. Commissioned services that were currently arranged and provided transport would be reviewed and consulted in line with the principles set out in the report.

- Utilise In-House Transport Services
The unit cost of in-house transport was calculated at £39.10 per week per customer which demonstrated cost effectiveness against private providers. It was proposed that capacity created within NAS transport as a result of the new eligibility criteria be utilised by eligible customers using more expensive private providers. An initial review indicated that 47 customers would transfer from private providers to NAS transport.
- Review current arrangements with other travel providers
- Review arrangements with independent sector day service
At present the 1 external day care provider contracted 3 NAS transport service buses to take customers from their home to the Day Service utilising funding provided by the local authority as part of the contract. It was proposed that arrangement cease and the 3 buses used by the Day Service be used to transport customers accessing in-house services using more expensive private providers.

It was proposed that a 12 weeks consultation exercise be undertaken with all stakeholders commencing on 23rd July to 15th October, 2012.

Discussion ensued on the report with the following comments made:-

- Had parents of young Service users been asked if they were prepared to pay more?
- The new criteria meant that only those in a wheelchair would be transported – not taking into consideration whether the Service user could read, write, cross a road safely
- Traffic congestion if more cars were used to deliver clients
- Those in receipt of low rate Mobility Allowance would still be provided with transport
- Families had to bear some of the responsibility of getting them to the Day Centre
- A Social Care Assessment did not take into account Mobility Allowance
- No. 5 of the Eligibility Criteria be amended to read “the customer is in receipt of the higher rate mobility component of Disability Living Allowance”
- That the note on No. 6 of the Eligibility Criteria be amended to read “it will not be acceptable for family members or carers to claim priority over the

- use of such vehicles – to be addressed on an individual basis”
- No. 7 of the Eligibility Criteria be amended to read “the customer has a family member or friend who is able to provide regular transport”
- “If able to access public transport should do so” be added to the consultation
- The £1 charge for transport was reviewed annually but had remained the same for a number of years – include in the consultation
- A Corporate review of transport was also taking place

Resolved:- (1) That the report be noted.

(2) That the 12 weeks consultation programme with customers, as set out in the report and amended at the meeting, be approved.

(3) That a further report be submitted outlining the longer term strategy for Transport Services.

(4) That upon completion of the consultation, a report be submitted to Cabinet for consideration.

(THE CHAIR AUTHORISED CONSIDERATION OF THE FOLLOWING ITEM TO ENABLE MEMBERS TO BE AWARE OF THE PROGRESS MADE.)

H20. ADULT SOCIAL CARE YEAR END PERFORMANCE FOR 2011/12

Scott Clayton, Performance Improvement Officer, presented the 2011/12 Key Performance Indicator (KPI) results for the Adult Social Care elements of the Directorate. Despite over £6M reduction in funding in 2011/12, 100% improvement had been achieved in Health and Wellbeing Indicators compared to 2012/11 representing the best ever outturn performance.

The headlines were:-

- Almost 7,500 (93%) customers had been reviewed – 400 more than last year and most on a face-to-face basis
- 5,750 (77%) had had the opportunity to access Services of their choice via a personal budget – an increase of 1,600
- 5,000 new people requiring care and support had been assessed
- 97.5% (increase of 3%) received their care services within 28 days following assessment
- The new Enabling Service had demonstrated early achievements in its first year
- The Council had seen a marked 10% improvement in the support provided to meet the needs of carers including the provision of information, advice or services
- 98.5% of safeguarding alerts had been assessed within 24 hours
- The number of reported alerts had increased by 14% (1,213)
- Home from Home Scheme had contributed to a reduction of 7% of abuse in residential home settings

The report also set out:-

- Adult Social Care Outcomes Framework - 2011/12 was the first year of publication for activity indicators
- Adult Social Care User Survey - sent to those in receipt of care and support services on 31st December, 2011. 1,333 received and 611 returned the survey - 48.5% response rate
- Revised 2012/13 Performance Reporting arrangements - revised suite of Indicators for 2012/13.
- Local Account - Rotherham's local account had been identified as the benchmark from a review undertaken by the Yorkshire and Humber Region.
- Zero Based Review - locally would mean changes to the social care and financial reporting systems and the performance measures reported in the Adult Social Care Outcomes Framework. Consultation closed on 3rd August, 2012, with full details of all changes to be published in September and operational from April, 2013. ADASS were leading on providing a regional and national response

Discussion ensued on the report with the following issues raised:-

- Rotherham would be submitting a full response to the Zero Based Review consultation as well as contributing to the Yorkshire and Humber ADASS regional response
- Adult Social Care User Survey - aimed at the user not the carer - what if the user could not read and/or write?
- There would be a national Carers Survey
- NAS1 [Percentage of clients receiving a review] - all were face-to-face reviews
- Rotherham was in the minority for including any information on Mental Health Service users

It was noted that due to the short timescales involved, there was insufficient time to follow the normal reporting procedure for consultations, therefore, it would be a technical response.

Resolved:- (1) That the 2011/12 year end performance and performance requirements for 2012/13 be noted.

(2) That a press release be issued highlighting the performance that had been achieved.

(3) That a briefing be prepared for all Elected Members.

(4) That the 3 local Members of Parliament be supplied with a copy of the completed consultation document.

(4) That the Director of Health and Wellbeing send a letter on behalf of the Cabinet Member to Steve Lightfoot thanking him for his work and best wishes for the future.

ROTHERHAM BOROUGH COUNCIL – REPORT TO MEMBERS
--

1	Meeting:	Cabinet Member for Adult Social Care
2	Date:	Monday, 10th September, 2012
3	Title:	Adult Services Revenue Budget Monitoring Report 2012-13
4	Directorate :	Neighbourhoods and Adult Social Services

5 Summary

This Budget Monitoring Report provides a financial forecast for the Adult Services Department within the Neighbourhoods and Adult Services Directorate to the end of March 2013 based on actual income and expenditure for the period ending July 2012.

The forecast for the financial year 2012/13 at this stage is a balanced budget, against an approved net revenue budget of £74.147m.

6 Recommendations

That the Cabinet Member receives and notes the latest financial projection against budget for 2012/13.

7 Proposals and Details

7.1 The Current Position

The approved net revenue budget for Adult Services for 2012/13 is £74.147m. Included in the approved budget was additional funding for demographic and existing budget pressures (£2.294m) together with a number of savings (£6.258m) identified through the 2012/13 budget setting process.

7.1.1 The table below summarises the latest forecast outturn against approved budgets:-

Division of Service	Net Budget	Forecast Outturn	Variation	Variation
	£000	£000	£000	%
Adults General	4,065	4,061	-4	-0.10
Older People	33,023	32,826	-197	-0.60
Learning Disabilities	17,289	17,643	+354	+2.04
Mental Health	5,466	5,450	-16	-0.30
Physical & Sensory Disabilities	6,308	6,200	-108	-1.71
Adults Safeguarding	715	686	-29	-4.06
Supporting People	7,281	7,281	0	0
Total Adult Services	74,147	74,147	0	0

7.1.2 The latest year end forecast shows there are a number of underlying budget pressures which are being offset by a number of forecast underspends.

The main variations to budget can be summarised as follows:

Adults General, Management & Training (-£4k)

This includes the cross cutting budgets (Workforce planning and training, and corporate charges) are forecasting an overall underspend mainly due savings on charges for postages, telephones and printing.

Older People (-£197k)

- Overspend on In-House Residential Care due to recurrent budget pressure on Part III income (+£109k) plus additional staffing costs due to sickness cover at Davies Court (+£162k).
- Increase in Direct Payments over budget (+£1.324m), this includes 110 new clients since April, the majority of which are clients who were previously receiving independent sector domiciliary care from service providers who were unsuccessful in the recent tendering of the independent home care service.

- Overspend on In House Transport (+£25k) due to slippage on meeting approved budget savings from the review of Transport services, partially reduced by additional income.
- Forecast underspend on Enabling Care (-£644k) based on current budget and level of service which is under review. There is also an underspend on Independent sector home care (-£266k) after a reduction of £655k commissioning and contract savings achieved as part of the new framework agreement. However, these budgets are being reviewed in order to match the shift in service provision into direct payments as mentioned above.
- An underspend on independent residential and nursing care (-£417k) due to 34 less clients in care than budgeted plus additional income from health and property charges.
- Forecast underspend at this stage in respect of Community Mental Health budgets uncommitted (-£157k) plus underspend on specialist sitting service (-£33k).
- Underspend on carers services due to vacancies and slippage in carers breaks (-£33k).
- Slippage on recruitment to vacant posts within Assessment & Care Management and community support plus additional income from Health (-£267k).

Learning Disabilities (+£354k)

- Overspend on residential care budgets due to loss of income from health for 5 clients plus 3 new clients previously funded by health (+£77k).
- Underspend within supported living schemes due to CHC income, use of one off grant funding and vacant posts (-£209k).
- Recurrent budget pressure on Day Care transport (+£285k) including income from charges.
- Increase in demand for Direct Payments over and above budget (+£65k).
- Forecast overspend in independent sector home care (+£79k) due to a reduction in budget agreed as part of budget setting.
- Three new high cost placements in independent day care is resulting in a forecast overspend of +£65k.
- Increase in community support placements is resulting in a forecast overspend of +£30k.
- Saving on premises costs (-£18k) and slippage on vacant posts (-£20k).

Mental Health (-£16k)

- Projected slight underspend on residential care budget (-£6k).
- Budget pressure on Direct Payments (+£146k) is being offset by savings on Community Support Services (-£158k) as clients take up a direct payment.
- Minor overspends on employee's budgets (+£2k).

Physical & Sensory Disabilities (-£108k)

- Continued Pressure on Independent Sector domiciliary care (+£65k) due to continue increase in demand.
- Loss of CHC funding for one client at Rig Drive (+£33k).
- Increase in demand for Direct Payments (+ 27 clients), forecast overspend (+£372k).
- Underspend on crossroads (-£70k) as clients are redirected to direct payments.
- Forecast overspend on Residential and Nursing care offset by slippage in developing alternatives to residential provision (-£428k).
- Vacant posts at Green Lane Resource centre and Occupational Therapists (-£64k).
- Forecast savings on contracts with Voluntary Sector providers (-£16k).

Adults Safeguarding (-£29k)

- Underspend on employee budgets due to vacant post plus forecast additional income from court of protection fees.

Supporting People (Balanced Budget)

- Efficiency savings on subsidy contracts offset against Commissioning savings targets not within Adult Services.

7.1.3 Agency and Consultancy

Total expenditure on Agency staff for Adult Services for the period ending July 2012 was £66,739 (none of which was off contract). This compares with an actual cost of £152,117 for the same period last year (of which £1,974 was off contract). Primarily, these costs were in respect of assessment and care management staff to cover vacancies and sickness.

There has been no expenditure on consultancy to-date.

7.1.4 Non contractual Overtime

Actual expenditure to the end of July 2012 on non-contractual overtime for Adult Services was £94,223 compared with expenditure of £105,590 for the same period last year.

The actual costs of both Agency and non contractual overtime are included within the financial forecasts.

7.2 Current Action

To mitigate any further financial pressures within the service, budget meetings and budget clinics are held with Service Directors and managers on a regular basis to monitor financial performance and further examine significant variations against the approved budget to ensure expenditure remains within the cash limited budget by the end of the financial year.

8. Finance

Finance details including main reasons for variance from budget are included in section 7 above.

9. Risks and Uncertainties

Careful scrutiny of expenditure and income and close budget monitoring remains essential to ensure equity of service provision for adults across the Borough within existing budgets particularly where the demand and spend is difficult to predict in such a volatile social care market. One potential risk is the future number and cost of transitional placements from children's services into Learning Disability services.

In addition, any future reductions in continuing health care funding would have a significant impact on residential and domiciliary care budgets across Adult Social Care.

10. Policy and Performance Agenda Implications

The delivery of Adult Services within its approved cash limit is vital to achieving the objectives of the Council and the CSCI Outcomes Framework for Performance Assessment of Adult Social Care. Financial performance is also a key element within the assessment of the Council's overall performance.

11. Background Papers and Consultation

- Report to Cabinet on 22 February 2012 –Proposed Revenue Budget and Council Tax for 2012/13.
- The Council's Medium Term Financial Strategy (MTFS) 2011-2014.

This report has been discussed with the Strategic Director of Neighbourhoods and Adult Services, the Director of Health and Well Being and the Director of Financial Services.

Contact Name: Mark Scarrott – Finance Manager (Neighbourhoods and Adult Services), *Financial Services x 22007, email Mark.Scarrott@rotherham.gov.uk.*

Document is Restricted